EXPRESS MAIL NO.: EL615209922US



## HOWREY SIMON ARNOLD & WHITE, LLP 301 Ravenswood Avenue Box 34 Menlo Park, CA 94025

1enlo Park, CA 940 (650) 463-8109

FORM PTO-1083

THE COMMISSIONER FOR PATENTS Washington, D.C. 20231

Sir:

In re application of: Stefan JOOS and Peter LICHTER

Appl. No.: 09/171,854 Filed: October 22, 1998

For: IDENTIFICATION OF NUMERICAL CHANGES

IN CELL DNA

Transmitted herewith are the following:

1. Form PTO-1083;

2. Fee Transmittal

- 3. Petition for Extension of Time;
- 4. Response to Office Action;
- 5. Clean Version of Pending Claims;
- 6. Version With Markings to Show Changes Made;
- 7. Substitute Specification Pursuant to 37 C.F.R. 1.125
- 8. Substitute Specification;
- 9. Red-lined copy of Specification to Show Changes Made;
- 10. Notice of Appeal;
- 11. Check for \$620.00; and
- 12. Return Receipt Postcard

No Claim Fee is required.

The claim fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total Claims	9	MINUS	20	= 0
Indep. Claims	1	MINUS	3	= 0
☐ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				

SMALL ENTITY				
Rate	Addit. Fee			
x 9=	\$ 00.00			
x 40 =	\$ 00.00			
+140 =	\$			
Total Addit. Fee	\$			

	OTHER THAN A SMALL ENTITY			
Or	Rate	1	Addit. Fee	
	x 18 =	\$	00.00	
	x 80 =	\$	00.00	
	+ 280 =	\$_	00.00	
Or	TOTAL	\$	00.00	

Attorney Docket No.: 03528.0038.US00

### EXPRESS MAIL NO.: EL615209922US

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing Atty. Dkt. No. 03528.0038.US00. A duplicate copy of this sheet is attached.

Date: November 9, 2001

Albert P. Halluin (Reg. No. 25,227)

Viola T. Kung (Reg. No. 41,131)

PTO(SB/17 (09-00) Approved for use through 10/31/2000 DMB 0651-003

Sisson, B.

03528.0038.US00

1655

Under the Paperwork Reduction Act of 1995, no persons are required	U.S. Patent and Tract to respond to a collection of inform	lemark Office; U.S. DEPARTM mation unless it displays a vali	MENT OF C	OMMERCE trol number	Į
DEC TO A NOMITTAL	Complete if Known			ad	亿
FEE TRANSMITTAL	Application Number	09/171,854	Z	C	T.
, 2001	Filing Date	October 22, 1998	33	-	1
9 2001 8	First Named Inventor	Stefan JOOS		<del></del>	T

**Examiner Name** 

Group Art Unit

Attorney Docket No.

tent fees are subject to annual revision.

(\$)620.00

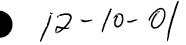
TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT		FFE CALCUL ATION (continued)				
The Commissioner is hereby authorized to charge		FEE CALCULATION (continued)  3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:						
Deposit Acct. No. 08-3038	Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description Fee	
Deposit		130	205	65	Surcharge – late filing fee or oath	
Account Name		50	227 .	25	Surcharge – late provisional filing fee or cover sheet	
Charge Any Additional Fee Required		130	139	130	Non-English specification	
Under 37 CFR 1.16 and 1.17		2,520	147	2,520	For filing a request for ex parte reexamination	
Applicant claims small entity status. See 37 CFR 1.27		920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. 🛛 Payment Enclosed:		1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Check Credit card Money Other	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	400	216	200	Extension for reply within second month	
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month 460	
Large Entity Small Entity	118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for reply within fifth month	
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal 160	
106 330 206 165 Design filling fee	120	320	220	160	Filing a brief in support of an appeal	
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing	
108 740 208 370 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filing fee	140	110	240	55	Petition to revive – unavoidable	
	141	1,280	241	640	Petition to revive – unintentional	
		1,280	242	640	Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)	143	460	243	230	Design issue fee	
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee	
Extra Fee from Fee Claims below Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims 9 -20** = X	123	50	123	50	Petitions related to provisional applications	
Independent 1 . 3** = X	126	180	126	180	Submission of Information Disclosure Stmt	
Claims X = X = Multiple Dependent	581	40	·581	40	Recording each patent assignment per property (times number of properties)	
	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b)	
103 18 203 9 Claims in excess of 20	179	740	279	370	Request for Continued Examination (RCE)	
102 84 202 42 Independent claims in excess of 3	169	900	169	900	Request for expedited examination	
104 280 204 140 Multiple dependent claim, if not paid					of a design application	
109 84 209 44 ** Reissue Independent claims over original patent		Other fee (specify) * Reduced by Basic Filing Fee Paid		Paid	SUBTOTAL (3) (\$)	
110 18 210 9 <sup>••</sup> Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) (\$)						
**or number previously paid, if greater; For Reissues, see above						

SUBMITTED BY Complete (if applicable) Name (Print/Type) Registration No. Albert P. Halluin/Viola T. Kung 25,227/41,131 Telephone 65-463-8109 Signature Date Nov. 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on The amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stefan JOOS, et al.

Appl. No. 09/171,854

Filed: October 22, 1998

For: IDENTIFICATION OF NUMERICAL

**CHANGES IN CELL DNA** 

Art Unit: 1655

Examiner: Sisson, B.

Atty. Docket: 03528.0038.US00

#### **BOX AF**

Commissioner for Patents Washington, D.C. 20231

## **Express Mail Certificate**

"Express Mail" label number EL615209922US

Date of Deposit November 9, 2001

I hereby certify that the following attached papers and fee

- 1. Form PTO-1083;
- 2. Fee Transmittal
- 3. Petition for Extension of Time;
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231, or to the Commissioner for Patents, at the same address.